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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No First Inventor or Application Identifier tin methods and Kewverable

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b), Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application O
See MPEP chapter 600 concerning utility patent application contents.	Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 7. Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) 9. English Translation Document (if applicable) 10. X Information Disclosure Statement (IDS)/PTO-1449 11. Preliminary Amendment 12. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) * Small Entity Statement(s) (PTO/SB/09-12) 14. Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Other:
16. If a CONTINUING APPLICATION, check appropriate box, and so Continuation Divisional Continuation-In-part (Continuation information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of under Box 4b, is considered a part of the disclosure of the accompany reference. The incorporation can only be relied upon when a portion in 17. CORRESPONDE Customer Number or Bar Code Label (Insert Customer No. or Attain Name Continuation Code Code Code Code Code Code Code Code	of prior application No:
Name (Print/Type) Renneth L Leur	SD9-927-3379 Fax \$39-927-710 Registration No. (Attorney/Agent) Date 3/9/20

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STATEMENT CLAIMING SMAL (37 CFR 1.9(f) & 1.27(b))INDE		Docket Number (Optional)
Applicant, Patentee, or Identifier:	Kennett L. Levy	
Application or Patent No.:	,	
Filed or Issued: 3/10/00		
Title: Recoverable digit	al content degradation	Method and Apparat
As a below named inventor, I hereby for purposes of paying reduced fees t	state that I qualify as an independent invento o the Patent and Trademark Office described	or as defined in 37 CFR 1.9(c) d in:
the specification filed herewith	with title as listed above.	
the application identified above	∍.	
the patent identified above.		
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Each person, concern, or organizatio obligation under contract or law to as:	n to which I have assigned, granted, convey sign, grant, convey, or license any rights in tl	ed, or licensed or am under an he invention is listed below:
No such person, concern, or	organization exists.	
Each such person, concern,	or organization is listed below.	
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110 NE Cedan St	Processing L.b, CLC Digim 19801 Traket	SW 22 nd Ave. Site 250
Separate statements are required from	690 Tualet	n OR 97062
stating their status as small entities. (n each named person, concern, or organization 37 CFR 1.27)	on naving rights to the invention
entitlement to small entity status price	pplication or patent, notification of any chang or to paying, or at the time of paying, the ea or which status as a small entity is no longer a	arliest of the issue fee or any
Kenneth L. Levy		
NAME OF INVENTOR N	AME OF INVENTOR	NAME OF INVENTOR
Signature of inventor S	ignature of inventor Si	gnature of inventor
3/9/00	=	
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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))SMALL BUSINESS CONCERN	Docket Number (Optional)
Applicant, Patentee, or Identifier: Kenneth L Lew Application or Patent No.: Filedorlssued: 3/10/00 Title: Lew ease as easier digital content degadation: Method	and Apparatus
I hereby state that I am the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern empowered to act on behalf of the concern empower.	
NAME OF SMALL BUSINESS CONCERN Acoustic Information Pr	ocessing Leb, LLC
ADDRESS OF SMALL BUSINESS CONCERN 110 NB (eda Street	, Stevenson WA 18648
I hereby state that the above identified small business concern qualifies as a small but 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Tradem to size standards for a small business concern may be directed to: Small Business Administ 409 Third Street, SW, Washington, DC 20416.	nark Office. Questions related
I hereby state that rights under contract or law have been conveyed to and remain with identified above with regard to the invention described in:	h the small business concern
the specification filed herewith with title as listed above. the application identified above. the patent identified above.	
If the rights held by the above identified small business concern are not exclusive, organization having rights in the invention must file separate statements as to their status as to the invention are held by any person, other than the inventor, who would not qualify as ar 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).	s small entities, and no rights
Each person, concern, or organization having any rights in the invention is listed below no such person, concern, or organization exists. each such person, concern, or organization is listed below.	N:
Separate statements are required from each named person, concern or organization is stating their status as small entities. (37 CFR 1.27)	naving rights to the invention
I acknowledge the duty to file, in this application or patent, notification of any change entitlement to small entity status prior to paying, or at the time of paying, the earliest of the is fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.	ssue fee or any maintenance
NAME OF PERSON SIGNING Kenneth L. Levy TITLE OF PERSON IF OTHER THAN OWNER Prosident ADDRESS OF PERSON SIGNING IID NZ (eda Street Steel SIGNATURE DATE	Western WA 98642
SIGNATURE / DATE DATE	3/9/00

Applicant or Pa		: Kenneth L. Levy	Ref. No.				
Serial or Patent			Filed or Issued: Herewith				
For: METHO	D ANI	D APPARATUS FOR INTELLIGENT	Γ CONTENT SCRAMBLING				
	VEF STAT	RIFIED STATEMENT (DECLARATION (DECLARATION) - (1.27(c)) - (1.27(c)	ON) CLAIMING SMALL ENTITY - SMALL BUSINESS CONCERN				
I hereby declare	e that I	am					
	r of the	e small business concern identified	below:				
An official	An official of the small business concern empowered to act on behalf of the concern identified below:						
NAME OF CON	IOEDA	Distinguis Comments					
			0.11.050 B. II. I.O				
ADDRESS OF	CONC		Suite 250, Portland, Oregon 97062				
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above with regard to	o the inv	rention, entitled	to and remain with the small business concern identified				
		RATUS FOR INTELLIGENT CONT	ENT SCRAMBLING				
By inventor(s)	Keni	neth L. Levy					
Described in:		The Application Filed Herewith. Application No. Patent No.	, ,				
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d), or a nonprofit organization under 37 CFR §1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)							
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NAME OF PERS	SON S	IGNING William Y. Conwell					
TITLE OF PERSON OTHER THAN OWNER Vice-President, Intellectual Property							
ADDRESS OF F	PERSC	N SIGNING 19801 SW 72 nd Ave	enue, Suite 250, Tualatin, OR 97062				
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RECOVERABLE DIGITAL CONTENT DEGRADATION: METHOD AND APPARATUS

This application claims the benefit of Provisional Patent Applications Ser. #60/123,581 filed 3/10/99, incorporated herein by reference.

Background of the Invention

It is desirable to degrade digital signals in many situations so as to restrict access. For instance, pay-TV broadcasts are degraded so those who haven't paid for the program cannot watch it because the picture is unclear, while those who have paid for the program see a clear picture because their recovery apparatus has been enabled. Most recently, as a result of the digital audio revolution, it is desirable to restrict MP3 (a standard bit-rate compressed audio file format) access. It is also desirable to produce inexpensive portable MP3 players, which in turn require that recovery of the original signal be simple.

There are numerous existing methods of degrading digital content, a.k.a. scrambling. Some methods require a key to de-scramble the content, whereas others do not. Most scrambling or degrading methods are based upon either adding an interference signal to the digital content, or moving the bits around. Other methods use encryption, but this is very computationally intense.

30 <u>Summary of the Invention</u>

This invention degrades digital signals and recovers them using a method and corresponding apparatus that is extremely simple and efficient to implement. In addition, most configurations of the invented method and apparatus allow self-

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synchronization, which means the digital content can be recovered when accessed at any random part of the content. The invented process also has configurations where the original digital data can be recovered from the degraded digital data with or without the need for a key. Finally, the degradation level can be controlled such that the digital content can either be previewed in lower quality before buying, or made totally useless.

The invented degradation process involves searching through the original digital data for detection criteria and then adjusting neighboring points to degrade the content, either without affecting the location of the detection criteria or affecting it in a known fashion so that the original signal may be recovered. The detection criteria may include the relationship between several points, or be as simple as a threshold crossing or include every Mth point. adjustment of the neighboring points may be as simple as multiplying the point after the threshold crossing by N. It is advantageous if N is less than one but not equal to zero so saturation and data points equal to zero are not a problem, and if the threshold is positive and the data is decreasing towards zero during the threshold crossing.

The invented recovery process includes searching through the data for the detection criteria and then re-adjusting neighboring points to their original value. For example, if the adjustment in the degradation process uses multiplication by N, the recovery process multiplies by 1/N.

The corresponding apparatus includes a logical processor and memory unit. The logical processor is used to search for the detection criteria, then either adjust to degrade or re-adjust to recover the original

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data point(s). The memory unit is used to hold the previous point(s) necessary to find the criteria location, and the buffer, if using buffered data.

Brief Description of the Drawings

- FIG. 1 is an overview of the degradation and recovery process.
- FIG. 2 is the pseudocode for the degradation and recovery process.
- 10 FIG. 3 is a simple and efficient example of the degradation and recovery process using a threshold crossing and adjusting only the next point.
 - FIG. 4 is the pseudocode for the simple and efficient example of the degradation and recovery process.
 - FIG. 5 is an overview of the apparatus required to implement the invented process.

<u>Detailed Description</u>

20 Below please find the definitions to terms and concepts that are used throughout this document. Digital content refers to digital data representing a perceived physical item, including but not limited to audio, video, and images. Digital data refers to the 25 grouping of bits (1's or 0's) that represent a sample of the original digital content at an instant in time. Each bit group is equivalently referred to as a data point or sample. The data points are arranged in an order, many times representing a sequence versus time or frequency. In addition, the data points may be 30 grouped again to form a subgroup, possibly used to represent a sequence versus frequency versus time, as is the case in MPEG standard compressed digital audio and video. Most importantly, the digital data has an order, with a beginning and end, such that searching 35

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the data is possible, and neighboring points can be defined as points close to each other. Finally, point(s) refer to one or several points.

Fig. 1 displays an overview of the degradation and recovery process, and Fig. 2 displays the corresponding pseudocode to be implemented by the apparatus.

To degrade the digital content (box 100), the samples are searched for the detection criteria (boxes 200, 210 and 220). The searching stops after the last data point in the buffer has been examined (box 210), and a new buffer may be presented if available. As known in the state of the art, data values must be saved between buffers and properly initialized for the first buffer so as the initial points are properly searched.

When the detection criteria are found, the neighboring data point(s) are adjusted so as to cause content degradation (box 230). The adjustment of these points should not change the location of the detection criteria or change it in a known fashion; otherwise, the detection of the correct location to re-adjust the data to its original value (recovery) is not easy. In addition, it is desirable to prevent the adjustment from causing saturation or resulting in a value of zero, because then the original data point(s) will not be easily recoverable.

To recover the original digital content (box 110), the degraded data is searched for the detection criteria defined by the degradation process (box 200, 210, and 220). If the degradation process has changed the detection criteria in a known fashion, then the detection criteria in box 220 for recovery is different than that used in degradation. When the criteria location is found, the neighboring data point(s) are re-adjusted by the inverse of the method used in the

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degradation process (box 230).

An example of this process is shown in Figs. 3 and In this case (boxes 300 and 310), the detection criterion is a threshold crossing (using c-notation: x[n-1] > thr && x[n] < thr) with a positive threshold (thr>0) while the data goes towards zero (boxes 400, 410 and 420). The neighboring point(s) include only the point after the threshold crossing (box 430). degrade the data, the adjustment involves multiplying the data point after the threshold crossing (x[n]) by N, where N is less than 1 (box 430). By reducing the value of this data point, the detection criteria location is not changed. In addition, the closer N is to 0 (but not equal to 0), the more the digital content is degraded. To recover the original digital data, the point after the threshold crossing (x[n]) is multiplied by 1/N (box 430). Care has to be taken that quantization errors do not degrade the recovered content quality. Obviously, without quantization, the recovered content will be identical to the original content.

There are additional simplistic detection criteria that can be used. For example, every Mth data point may be degraded. In this case, synchronization for recovery may require scanning the data for M points until the correct degraded locations are found. In addition, peak values could be used, and the point after the peak could be reduced in value. As desired, this will not affect the detection criteria for the recovery process. Alternatively, threshold crossings with a negative threshold and the data moving towards zero are viable. Again, the data point after the threshold is reduced in absolute value towards but not equal to zero. For these last two cases,

35 synchronization for recovery automatically occurs when

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searching the data.

Although, in this preferred configuration, the detection criteria do not change between degrading and recovering the original digital data, this is not an absolute requirement. The detection criteria may change, if in a known fashion, such that the recovery process uses a different but known detection criteria than the degradation process. In other words, box 420 (or 220, as discussed above) would be different for the degradation and recovery process.

The original content need not be represented by digital samples versus time, as one may have assumed. In many cases, such as using MPEG compression (i.e. MP3 audio), the digital samples represent subgroups of frequencies versus time. In this case the data may be searched across frequency for each subgroup, or across time for each frequency, or in any other but well-defined combination. The data may also represent either the frequency magnitude or corresponding scaling factors.

It is important to note that there are alternative ways to recover the content while removing most of the perceptual degradation caused by this degradation technique. For example, a pirate could use a low-pass filter to render reasonable content from the degraded content. The recovered digital data will not exactly match the original digital data, but its perception may be acceptable. As well know by one familiar with the state of the art in DSP, filter characteristics such as type and order will affect the recovered data.

Alternatively, one could use pseudo-random sequences (a.k.a. a key) to set the detection criteria (box 220) or the adjustment or re-adjustment of the data (box 230). This randomness increases the difficulty to illegally recover the data. For example,

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a pseudo-random number greater than zero but less than one could be used as the scaling value N (box 430). Or, a pseudo-random number between minimum and maximum threshold could be used for the threshold (box 420).

5 All that matters is that the degradation and recovery process use the same pseudo-random sequence. However, this configuration requires sending a key along with the data. The key may be embedded within the data using known techniques, such that the original data is still recoverable from the degraded data.

Fig. 5 shows the hardware apparatus required to implement the invented degradation and recovery processes. The hardware includes a logic processor 500 and a storage unit 510. The logic processor 500 may be defined as the equivalent of a digital signal processor (DSP), general-purpose central processing unit (CPU), or a specialized CPU, including but not limited to media processors. A likely DSP chip is one of the Texas Instruments TMS320 product line. A CPU could include one of Intel's Pentium line or Motorola/IBM's PowerPC product line. The design of code for controlling logic processor 500 is simple for someone familiar with the state of the art given the above pseudo-code and description. The storage unit 510 includes RAM when using a digital processor, and is required to store the current buffer and/or previous point(s) for the detection criteria.

In addition, a person familiar with the state of the art could implement the logic processor 500 with analog and digital circuitry, either separately or in an application specific integrated circuit (ASIC). The analog and digital circuitry could include any combination of the following devices: digital-to-analog converters (D/A), comparators, sample-and-hold circuits, delay elements, analog-to-digital converters

(A/D), and programmable logic controllers (PLC).

In summary, the main advantage of this invention is that it is an extremely simple and efficient method and apparatus to protect content as compared to priorart scrambling and encryption methods.

The foregoing descriptions of the preferred embodiments of the invention have been presented to teach those skilled in the art how to best utilize the invention. Many modifications and variations are possible in light of the above teaching. For example, as discussed, there are many detection criteria and adjustment schemes that are similar to those described. To this end, the following claims define the scope and spirit of the invention.

Claims

I claim:

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- 1. A process that includes searching the digital data for detection criteria and adjusting neighboring point(s), whereby the digital data is degraded in quality but the original signal is recoverable.
 - 2. The process of claim 1 in which the detection criteria involves a pseudo-random sequence, thereby increasing the difficulty to illegally removing the content degradation.
 - 3. The process of claim 1 in which the adjustment of neighboring point(s) involves a pseudo-random sequence, thereby increasing the difficulty to illegally removing the content degradation.
- 4. The process in claim 1 in which the detection criteria includes a threshold crossing, thereby the degradation process is simple and efficient.
 - 5. The process in claim 4 in which the value of the threshold is a pseudo-random sequence, thereby increasing the difficulty of illegally recovering the original signal.
 - 6. The process in claim 4 in which adjustment of neighboring points includes scaling the point after the threshold crossing, whereby the degradation process is simple and efficient.
 - 7. The process of claim 6 in which the scaling value is a pseudo-random sequence, whereby increasing the difficulty of illegally recovering the original signal.
- 30 8. The process in claim 1 in which every Mth point is degraded in quality.
 - 9. The process in claim 1 in which the content is recovered with a filter that removes most of the content degraded.

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- 10. A process which includes searching the digital data for detection criteria and re-adjusting neighboring point(s) whereby the original digital data is recovered from the degraded data.
- 5 11. The process of claim 10 in which the detection criteria involves a pseudo-random sequence, thereby increasing the difficulty to illegally removing the content degradation.
 - 12. The process of claim 10 in which the adjustment of neighboring point(s) involves a pseudo-random sequence, thereby increasing the difficulty to illegally removing the content degradation.
 - 13. The process in claim 10 in which the detection criteria includes a threshold crossing whereby the recovery process is simple and efficient.
 - 14. The process in claim 13 in which adjustment of neighboring points includes re-scaling the point after the threshold crossing by the inverse of the scaling value used in degradation, whereby the recovery process is simple and efficient.
 - 15. The process in claim 10 in which every Mth point is recovered from the degraded digital data.
 - 16. An apparatus consisting of a logic processor and storage unit with a means to implement the efficient and self-synchronizing degradation or recovery process, whereby the apparatus is inexpensive.
- 30 17. The apparatus of claim 16 in which the logic processor is a digital processor.
 - 18. The apparatus of claim 17 in which the memory unit is digital random access memory (RAM).
 - 19. The apparatus of claim 16 consisting of a combination of custom digital and analog

circuitry.

20. The apparatus claim 16 which implements a filter that removes most of the degraded content.

Docket: #Levy5R 12 EI847189822US

Abstract of the Disclosure

In many situations, it is desirable to restrict access to digital content. This invention presents an extremely efficient and simple method and apparatus to degrade and recover digital content. The invented process is based upon searching the data for detection criteria and then adjusting neighboring point(s) to degrade the content, or re-adjusting the neighboring point(s) to recover the original content. For example, one could search for threshold crossings, and scale the following point by a number between 0 and 1 for degradation, or re-scale the following point by the inverse scaling value to recover the original data. The apparatus includes a logic processor and storage unit to implement the degradation and recovery process.

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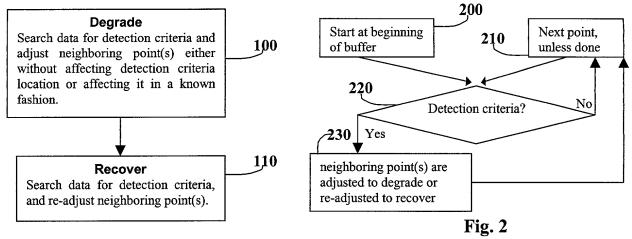


Fig. 1

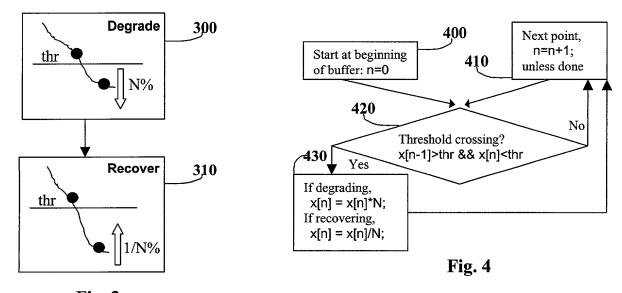
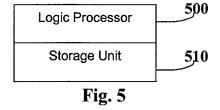


Fig. 3



OR

(37 CFR 1.63)

Declaration Submitted with Initial Filing

☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

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First Named Invento	Kenneth L La	4
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Application Number	/	
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Examiner Name		

As a below named inventor, I hereby declare that:										
My residence, post office address, and citizenship are as stated below next to my name.										
I believe I am the original	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural									
names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:										
Recoverable Digital Content Degradation Method and Apparatus										
_ '	the specification of which (Title of the Invention)									
is attached hereto										
was filed on (MM/I)D/YYYY)	as Unite	d States Applica	tion Number or	PCT International					
Application Number	and w	ras amended on (MM/DD/Y	vvv)		(if applicable).					
I hereby state that I have r	eviewed and understand the	contents of the above ident		n. including the						
amended by any amendme	ent specifically referred to ab-	ove.		-						
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.										
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